



TRANSMITTAL FORM

(To be used for all correspondence
after initial filing)

Application Number	10/624,946
Filing Date	July 21, 2003
First Named Inventor	Jeffrey R. Raymond
Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket No.	480117.407C1

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> CD(s), Number of CD(s) _____
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<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Declaration	<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 (2 Sheets)	<input type="checkbox"/> Statement under 37 CFR 3.73(b)	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Cited References	<input type="checkbox"/> Terminal Disclaimer	_____
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	_____
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53		_____
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		_____

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Carol J. Roth Reg. No. 32,783	Customer Number 00500
Signature		
Date	October 20, 2003	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Lawrence Teague	
Signature		Date: October 20, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.16. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

426124



PATENT

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October 20, 2003
Date

Lawrence Teague
Lawrence Teague

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jeffrey R. Raymond et al.
Application No. : 10/624,946
Filed : July 21, 2003
For : 3-NITROGEN-6,7-DIOXYGEN STEROIDS AND USES
RELATED THERETO

Docket No. : 480117.407C1
Date : October 17, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Forms PTO-1449. This application is a continuation and relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/845,775, filed April 30, 2001, now issued as U.S. Patent 6,635,629. The references listed on the attached Forms PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in the present application. If the Examiner wishes, copies will be provided upon request.

Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is

believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

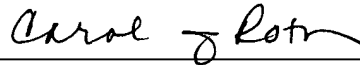
Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe this Information Disclosure Statement has been timely filed, however, the Director is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Jeffrey R. Raymond

Seed Intellectual Property Law Group PLLC



Carol J. Roth

Registration No. 32,783

CJR:ljt

Enclosures:

Postcard

Transmittal Form

Form PTO-1449 (2 Sheets)

701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900
Fax: (206) 682-6031

V426117

FORM PTO-1019
(REV. 7-80)

OCT 23 2003

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
480117.407C1APPLICATION NO.
10/624,946**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANT'S

Jeffrey R. Raymond et al.

FILING DATE

July 21, 2003

GROUP ART UNIT

Not Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,637,691	06/10/97	Frye et al.	540	106	
	AB	5,721,226	02/24/98	Frye et al.	514	169	
	AC	5,733,899	03/31/98	Frye et al.	514	169	
	AD	5,817,632	10/06/98	Hsia	514	21	
	AE	5,847,172	12/08/98	Zasloff et al.	552	521	
	AF	5,856,535	01/05/99	Zasloff et al.	552	521	
	AG	5,874,597	02/23/99	Jones	552	521	
	AH	6,046,185	04/04/00	Burgoyne et al.	514	178	
	AI	6,060,465	05/09/00	Miljkovic et al.	514	169	
	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK	WO 91/10743	07/25/91	WIPO		
	AL	WO 94/14451	07/07/94	WIPO		
	AM	WO 94/20520	09/15/94	WIPO		
	AN	WO 96/22791	08/01/96	WIPO		
	AO	WO 96/36230	11/21/96	WIPO		

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	Cram and Allinger, "Mold Metabolites. VIII. Contribution to the Elucidation of the Structure of Helvolic Acid," <i>Journal of the American Chemical Society</i> 78:5275-5284, October 20, 1956.
	AQ	Foussard-Blanpin, "Pharmacological Study of 3 α -Aminopregnane," <i>Ann. Pharm. FR.</i> 27(3):191-200, 1969. (Abstract Only, STN Accession No. 71:79454)
	AR	McGowan et al., "The Production of a Reactive Oxygen Intermediate during the Induction of Apoptosis by Cytotoxic Insult," <i>Experimental Cell Research</i> 238(1):248-256, January 10, 1998.

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

OCT 23 2003

Sheet 2 of 2

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
480117.407C1APPLICATION NO.
10/624,946**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANTS

Jeffrey R. Raymond et al.

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA						
	BB						
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	BK	WO 98/02450	01/22/98	WIPO		
	BL	WO 98/27106	06/25/98	WIPO		
	BM	WO 99/44616	09/10/99	WIPO		
	BN					
	BO					

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	BP	Mendenhall et al., "Anabolic Steroid Effects on Immune Function: Differences Between Analogs," <i>J. Steroid Biochem. Mol. Biol</i> 37(1):71-76, 1990. (Abstract Only, STN Accession No. 114:17714)
	BQ	Spyriounis et al., "Novel N-Substituted 3-Aminosteroids Which Exhibit Anti-Inflammatory Properties and Influence Free Radical Processes," <i>Eur. J. Med. Chem.</i> 28(6):521-525, 1993.
	BR	

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).